

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.
DC10047 PCT 1

In Re Application Of:

LEAYM, TINA MARIE , et al.

10/591187

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
			00137		

Title: SILSESQUIOXANE RESIN WAX

Address to:
Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

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of

2

Application Number

PCT/US2005/011705

Filing Date

10/591187

First Named Inventor

LEAYM, TINA MARIE

Art Unit

Examiner Name

Attorney Docket Number DC10047 PCT 1

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (<i>if known</i>)			
		US- 5,932,231	08-03-1999	LeGrow et al.	
		US- 6,270,561	08-07-2001	Nguyen	
		US- 6,071,503	06-06-2000	Drechsler et al.	
		US- 6,704,654	06-13-2000	Drechsler et al.	
		US- 6,139,823	10-31-2000	Drechsler et al.	
		US- 6,340,466	01-22-2002	Drechsler et al.	
		US- 5,733,537	03-31-1998	Halloran et al.	
		US- 2003-0086888	05-08-2003	LeGrow et al.	
		US- 2003-0082218	05-01-2003	Ichinohe et al.	
		US- 2002-0064509	05-30-2002	Grimm et al.	
		US- 2004-0028636	02-12-2004	Collin	
		US- 2003-0185780	10-02-2003	Ferrari et al.	
		US- 2003-0125427	07-03-2003	Pinzon et al.	
		US- 2002-0120036	08-29-2002	Pinzon et al.	
		US- 2003-0147837	08-07-2003	Cavazzuti et al.	
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (<i>if known</i>)				
		WO97/17058	05/15/1997	THE PROCTER & GAMBLE COMPANY		
		WO97/17059	05/15/1997	THE PROCTER & GAMBLE COMPANY		
		WO02/47619	06/20/2002	L'OREAL S.A.		
		WO02/47608	06/20/2002	L'OREAL		
		WO02/058643	08/01/2002	L'OREAL		

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IAP5 Rec'd PCT/PTO 30 AUG 2006

Approved for use through 07/31/2006 - SW-1651-0031

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(Use as many sheets as necessary)

Sheet 2 of 2

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		Country Code ³ , Number ⁴ , Kind Code ⁵ (if known)				
		EP1314415	05/28/2003	SHIN-ETSU CHEMICAL CO., LTD.		
		WO02/47657	06/20/2002	L'OREAL S.A.		
		WO02/47624	06/20/2002	L'OREAL S.A.		
		WO02/47623	06/20/2002	L'OREAL S.A.		

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